IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application Number

10/713,592

Confirmation No. 2005

Applicant

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James Shanahan, et al.

Filed

November 14, 2003

Tech Cntr/GAU

2167

Examiner

Kimberly Lovel

Entitled

Method and Apparatus for Document Filtering Using Ensemble

Filters

Attorney Reference

011898-0048-999 (940630-010023)

Customer Number : 20583

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

LETTER REGARDING STATUS OF FINAL OFFICIAL ACTION MAILED 19 APRIL 2007

Sir:

In light of the Interview Summary dated October 19, 2007 (copy attached) from the Examiner, received by Applicant's representative by facsimile today, indicating that a new Non-Final Action resetting the date for response has been counted and indicating that a Response to the Final Official Action is not necessary, Applicants understand there is no need to file a Response to the Final Official Action of April 19, 2007 to maintain a pending application. Should the U.S. Patent & Trademark Office disagree with this understanding, the Commissioner is hereby authorized to consider this paper to constitute the filing of a Notice of Appeal and a petition for extension of time under 37 C.F.R. 1.136 for three months. The requisite appeal and extension fees should be charged to Deposit Account No. 503-013.

Respectfully Submitted,

JONES DAY

Paul L. Sharer

Registration No. 36,004

Intellectual Property Group 51 Louisiana Avenue, N.W. Washington, D.C. 20001 (202) 879-3939 Telephone (202) 626-1700 Facsimile

Date: October 19, 2007

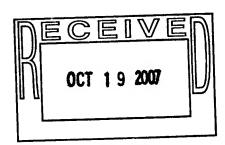
UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.aptogov

Fax Cover Sheet

Date: 19 Oct 2007	
To: Doug Pearson	From: Kimberly Lovel
Application/Control Number: 10/713,592	Art Unit: 2167
Fax No.: 202-626-1700	Phone No.: (571) 272-2750
Voice No.:	Return Fax No.: (571) 273-8300
	CC:
Re: Urgent For Review For Comment	For Reply Per Your Request

Comments: Attached Interview Summary



Number of pages __ including this page

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Application No.	Applicant(s)
10/713,592	SHANAHAN ET AL.
Examiner	Art Unit
Kimberly Lovel	2167
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9 2007	Interview Summary	Examiner	Art Unit	
HARRIA		Kimberly Lovel	2167	
	rticipants (applicant, applicant's representative, PTO	personnel):		
	n <u>berly Lovel</u> .	(3)		
(2) <u>Eri</u>	<u>n</u> . ,	(4)		
	e of Interview: 19 October 2007.			
Тур	pe: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2) applicant's representative	re]	
Exhil I	bit shown or demonstration conducted: d) Yes f Yes, brief description:	e) <u>□</u> No.		ļ
1	m(s) discussed:			
Iden	tification of prior art discussed:		21/8	
	eement with respect to the claims f) was reached.			
cou no i	estance of Interview including description of the gene ched, or any other comments: <u>Prosecution on the ca</u> inted on 10/17/2007 and in the process of being mail presponse to the Advisory action mailed on 8/7/07 or in the uired.	ed. The new action resets the follow-up response to the Final	date for respons Action mailed o	n 4/19/2007 is
(A 1	fuller description, if necessary, and a copy of the ame towable, if available, must be attached. Also, where no towable is available, a summary thereof must be attact	hed.)		
THE INT GIV INT	E FORMAL WRITTEN REPLY TO THE LAST OFFIC ERVIEW. (See MPEP Section 713.04). If a reply to YEN A NON-EXTENDABLE PERIOD OF THE LONG TERVIEW DATE, OR THE MAILING DATE OF THIS E A STATEMENT OF THE SUBSTANCE OF THE IN uirements on reverse side or on attached sheet.	E ACTION MUST INCLUDE T the last Office action has alread ER OF ONE MONTH OR THIS	RTY DAYS FROM M. WHICHEVER	M THIS (IS LATER, TO

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is malled to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner, (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.